



REQUEST FOR PORTING AND MAINTENANCE OF LEI WITH KDD– Legal person

1. LEGAL ENTITY DATA:

LEI:	
LEI of the Transferring LOU:	
Legal Name:	
Other Entity Names:	
Business Register Number:	
ID for VAT:	
Legal Form:	
Business Register Data (Name and Country):	
Entity Creation Date:	

LEGAL ADDRESS	
Street and House Number:	
City:	
ZIP:	
Country:	
HEADQUARTERS ADDRESS	
Street and House Number:	
City:	
ZIP:	
Country:	
OTHER ADDRESS	
Street and House Number:	
City:	
ZIP:	
Country:	

2. CONTACT PERSON DATA:

Name and Surname:	
Position:	
Phone Number:	
e-mail:	
Street and House Number:	
City:	
ZIP:	
Country:	



3 . DATA OF THE DIRECT PARENT-CHILD RELATIONSHIP

3.1. *Legal person is not in Parent-Child relationship*

Legal person does not have a Direct Parent according to the definition used or the Parent Company does not have an LEI yet, due to one of the following reasons (mark with a cross):

<input type="checkbox"/> Legal entity is controlled by legal entities not subject to preparing consolidated financial statements.
<input type="checkbox"/> There is no known person controlling the entity (e.g., diversified shareholding).
<input type="checkbox"/> The entity is controlled by natural person(s) without any intermediate legal entity meeting the definition of accounting consolidating parent.
<input type="checkbox"/> Parent company does not have an LEI.

3.2. *Legal person is in Parent-Child relationship*

DIRECT and ULTIMATE Parent are the same Entity (please choose) YES NO

(if they are different Entity please provide information on both, Direct and Ultimate Parent below)

(a) Provide the data of the Parent-Child relationship or

LEI:	
Legal Name:	
Legal Address:	
Headquarters Address:	
Business Register Number:	
Business Register Data (Name and Country)	
Relationship period (start date):	
% of ownership:	

Enclosed documents

List the titles of the document(s) stating that the Parent-Child relationship exist and enclose a copy of the said document(s) (consolidated financial statements, regulatory filings, Contracts, or other documents supporting the said relationship).

(b) select an opt-out reason preventing you to disclose said data and briefly explain

<input type="checkbox"/> The parent does not consent to have an LEI.
<input type="checkbox"/> Obstacles in the laws or regulations of a jurisdiction prevent providing or publishing this information. This does not include cases where, under the applicable legal framework disclosing the parent relationship would require the consent of one of the entities in the relationship, or both, and such consent could not be obtained.
<input type="checkbox"/> The consent of the parent was necessary to provide or publish said information under the applicable legal framework and the parent did not consent or could not be contacted.
<input type="checkbox"/> Binding legal commitments (other than the laws or regulations of a jurisdiction) preventing providing or publishing this information, e.g. articles governing the legal entity or a contract.



<input type="checkbox"/> The disclosure of this information would be detrimental to the legal entity or the relevant parent.
<input type="checkbox"/> Detriment to the legal entity or the relevant parent is not excluded in case of disclosure of this information.

4. DATA OF THE ULTIMATE PARENT-CHILD RELATIONSHIP

4.1. Legal person is not in Parent-Child relationship

<input type="checkbox"/> Legal entity is controlled by legal entities not subject to preparing consolidated financial statements.
<input type="checkbox"/> There is no known person controlling the entity (e.g., diversified shareholding).
<input type="checkbox"/> The entity is controlled by natural person(s) without any intermediate legal entity meeting the definition of accounting consolidating parent.
<input type="checkbox"/> Parent company does not have an LEI.

4.2. Legal person is in Parent-Child relationship (has an Ultimate parent)

(a) Provide the data of the Parent-Child relationship or

LEI:	
Legal Name:	
Legal Address:	
Headquarters Address:	
Business Register Number:	
Business Register Data (Name and Country)	
Relationship period (start date):	
% of ownership:	

Enclosed documents

List the titles of the document(s) stating that the Parent-Child relationship exist and enclose a copy of the said document(s) (consolidated financial statements, regulatory filings, Contracts, or other documents supporting the said relationship).

(b) select an opt-out reason preventing you to disclose said data and briefly explain

<input type="checkbox"/> The parent does not consent to have an LEI.
<input type="checkbox"/> Obstacles in the laws or regulations of a jurisdiction prevent providing or publishing this information. This does not include cases where, under the applicable legal framework disclosing the parent relationship would require the consent of one of the entities in the relationship, or both, and such consent could not be obtained.
<input type="checkbox"/> The consent of the parent was necessary to provide or publish said information under the applicable legal framework and the parent did not consent or could not be contacted.
<input type="checkbox"/> Binding legal commitments (other than the laws or regulations of a jurisdiction) preventing providing or publishing this information, e.g. articles governing the legal entity or a contract.
<input type="checkbox"/> The disclosure of this information would be detrimental to the legal entity or the relevant parent.
<input type="checkbox"/> Detriment to the legal entity or the relevant parent is not excluded in case of disclosure of this information.



5. LEGAL ENTITY EVENTS

5.1. Events related to identification of the Legal Entity YES NO
(Change of: Legal Name or Legal Address or Legal Form, etc...)

5.2. Events related to solvency / liquidity of the Legal Entity YES NO
(Bankruptcy or Voluntary Arrangement or Insolvency)

5.3. Events related to ownership transformation of Legal Entity YES NO
(Breakup or Dissolution or Liquidation or Demerger or Spinoff or Absorption or Acquisition or Acquisition of a Branch, etc...)

Brief description of the event:

STATEMENT (all fields are mandatory):

With my signature I hereby (mark with a cross):

- guarantee for the accuracy and veracity of all the above stated data and accept KDDLEI - General Terms and Conditions which I have read;
- give permission to KDD to transmit data, collected in this LEI porting and maintenance procedure, to third persons if a disclosure of this data is needed for GLEIS performance due to the ISO 17442, ROC instructions or other documents or if a disclosure of this data is required due to the Law of the Republic of Slovenia;
- give permission to KDD to communicate to the sending LOU data related to the request for porting and maintenance of LEI with KDD, including the e-mail address of the contact person that has required the transfer, while at the same time I permit the sending LOU to communicate this data to its contact person of the said legal person.

The scan of the request should be sent to: kddlei@kdd.si or in physical form to KDD d.o.o., Tivolska cesta 48, 1000 Ljubljana, Slovenija

Date:

Name and surname of the authorised person and his signature: